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10/074,073

02/12/2002

2831

Leah Simkins

Approved for use through 10/31/2002. OMB/651-0031

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Filing Date

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

First Named Inventor Group Art Unit

Application Number

Examiner Name

Total Number of Pages in This So	bmission 19	Attorney Docket Num	NRC P56 US				
ENCLOSURES (check all that apply)							
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Assignm (for an A) Drawing Licensir Petition Provisic Power of Change Address Termina Reques	nent Papers Application) (s) ng-related Papers to Convert to a contain Application of Attorney, Revocation of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Bnef, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): check for \$130, check for \$40, Preliminary Amendment, FIGS. 3, 4 marked red				
SIGNATURE OF ARRIVANT ATTORNEY OR AGENT							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Jerry Cohen, Reg. No. 20,522 Individual name							
Signature	hala						
Date	05/10/2002						
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Signature		<u> </u>	Date 05/10/2002				

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Application Number

Examiner Name

FEE TRANSMITTAL for FY 2001

Filing Date 02/12/2002
First Named Inventor Leah Simkins et al

10/074,073

Patent fees are subject to annual revision

**or number previously paid, if greater, For Reissues, see above

Group / Art Unit 2831

NRC P56 US TOTAL AMOUNT OF PAYMENT \$170.00 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check one) The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES \boxtimes Small indicated fees and credit any over payments to. Large Entity Entity Fee Fee Code Fee Description Deposit Paid Code (\$) (\$) 03-2410 Account Surcharge - late filing fee or oath \$130 205 105 130 65 Number 127 50 227 25 Surcharge - late provisional filing fee or cover sheet. Deposit Perkins, Smith & Cohen, LLP 139 130 139 130 Non-English specification Account 147 2,520 147 2,520 For filing a request for reexamination Requesting publication of SIR prior to ★ Charge Any Additional Fee Required 112 920* 112 920* Under 37 CFR 1 16 and 1 17 Applicant claims small entity status. Requesting publication of SIR after 113 1,8401 113 1,840° See 37 CFR 1.27 Examiner action X Payment Enclosed Extension for reply within first month 215 55 115 110 116 390 216 195 Extension for reply within second □ Other ⊠ Check ☐ Credit card ☐ Money month Extension for reply within third month 117 890 217 445 FEE CALCULATION Extension for reply within fourth 118 1,390 218 695 BASIC FILING FEE month 128 1,890 228 945 Extension for reply within fifth month Large **Entity Small** Entity Notice of Appeal 155 310 219 Fee Fee Description 119 Code Fee Paid Code (\$) (\$) 120 310 220 155 Filing a brief in support of an appeal 101 710 201 355 Utility filing fee 121 270 221 135 Request for oral hearing 106 320 206 160 Design filing fee Petition to institute a public use 138 1,510 138 1,510 107 207 245 Plant filing fee 490 Petition to revive - unavoidable Reissue filing fee 110 55 108 710 208 355 140 240 Provisional filling fee 141 1,240 241 620 Petition to revive - unintentional 114 150 214 75 142 242 620 Utility issue fee (or reissue) 1,240 (\$) 0 SUBTOTAL (1) 143 440 243 220 Design issue fee 600 244 300 Plant issue fee 2. EXTRA CLAIM FEES 122 130 122 130 Petitions to the Commissioner Extra Petitions related to provisional Claims below Paid 123 130 123 130 Х applications Total Claims -20 Г 18 0 Submission of Information Disclosure 126 180 126 180 0 -3 0 X 84 Recording each patent assignment /lultiple per property (times number of properties) \$40 280 0 581 40 581 40 Entity Large Small Entity 710 246 355 Filing a submission after final rejection 146 (37 CFR § 1.129(a)) Fee Fee Fee Description Code (\$) Code (\$) For each additional invention to be 149 710 249 355 Claims in excess of 20 examined (37 CFR § 1.129(b)) 103 203 18 102 80 202 40 Independent claims in excess of 3 279 355 Request for Continued Examination (RCE) 179 710 104 270 204 135 Multiple dependent claim, if not paid Request for expedited examination 900 169 ** Reissue independent claims over 169 900 109 80 209 40 of a design application onginal patent ** Reissue claims in excess of 20 and 110 18 210 over original patent Other fee (specify) SUBTOTAL (2)

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Jerry Cohen	Registration No Attorney/Agent)	20,522	Telephone	(617) 854-4000		
Signature	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	<u></u>		Date	05/10/2002		

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SUBTOTAL (3)

(\$) \$170 00

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/074,073

02/12/2002

Leah Simkins

NRC P56 (6160)

26486 PERKINS, SMITH & COHEN LLP ONE BEACON STREET 30TH FLOOR BOSTON, MA 02108

CONFIRMATION NO. 6693 FORMALITIES LETTER

Date Mailed: 03/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The following item(s) appear to have been **omitted** from the application:

- Figure(s) Fig. 3 & 4 described in the specification.
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.
- II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within TWO MONTHS of the date of this Notice.
- III. The failure to file a petition (and petition fee) under the above options (I) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing

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date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE